2016 ANNUAL DAM AND DIKE INSPECTION REPORT

Fly Ash Dams 1, 2 & Bottom Ash Pond Complex

> Cardinal PLANT BRILLIANT, OHIO

December, 2016

Prepared for: Cardinal Operating Company Brilliant, Ohio

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Dam & Dike Inspection Report Fly Ash Dams I, II, and Bottom Ash Complex

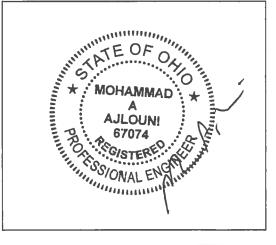
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CARDINAL PLANT

BRILLIANT, OHIO

INSPECTION DATE November 17, 2016

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PROFESSIONAL ENGINEER SEAL & SIGNATURE

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1.0 INTRODUCTION

This report was prepared by AEP- Geotechnical Engineering Services (GES) section, in part, to fulfill requirements of 40 CFR 257.83 and the Ohio Department of Natural Resource (ODNR), Division of Water and to provide Cardinal Operating Company and Cardinal plant with an evaluation of the facility.

The Cardinal Power Plant is located at 306 County Road 7 East, Brilliant, OH, 43913 County, near the town of Brilliant, Jefferson County, Ohio. It is owned by Buckeye Power and AEP Generation Resources (GENCO) and operated by Cardinal Operating Company. The facility operates the Fly Ash Dam 1 (FAD 1, ODNR# 0205-009, the Fly Ash Dam 2 (FAD 2), ODNR# 0205-010 and the Bottom Ash Pond (BAP) Complex dam, ODNR# 0105-004.

American Electric Power Service Corporation's Civil Engineering Division administers the Cardinal Plant's Dam Inspection and Maintenance Program (DIMP). As part of the DIMP, staff from the Geotechnical Engineering Services Section annually conducts dam and dike inspections. This report contains the inspection findings, observations, photographic descriptions, conclusions, and maintenance recommendations. This inspection report addresses the FAD 1, FAD 2, and the BAP Complex at the Cardinal plant.

Mr. Randy Sims, P.E., at the Cardinal Plant, was the project facility contact and accompanied Mr. Mohammad Ajlouni of GES throughout the inspection. The site inspection was performed on November 17, 2016. Weather conditions were cool, ranging from cloudy in the morning to partly cloudy in the afternoon. Temperatures reached a high of approximately 55°F. There was precipitation of 0.17 inch in the preceding 7 days prior to the November 17 inspection date.

2.0 DESCRIPTIONS OF IMPOUNDMENTS

2.1 FLY ASH DAM 1

FAD 1 is the plant's original fly ash retention dam constructed in the early 1970's. The dam is an earth and rockfill dam having a final design crest elevation of 1001.5 ft. The dam has upstream (u/s) and downstream (d/s) slopes of approximately 2.5 Horizontal to 1 Vertical (2.5 H to 1 V). As ash placement behind FAD 1 reached its maximum allowed level, Cardinal FAD 2 was constructed and began operation in the late 1980's. FAD 1 is still listed with the ODNR as an active dam, however, its reservoir area has been repermitted by the Ohio EPA as a solid waste landfill (PTI permit # 06-07993, dated May 11, 2007) for the disposal of synthetic gypsum generated by the air pollution control equipment constructed at the Cardinal Plant that captures sulfur dioxide emissions (Figure 1).

2.2 FLY ASH DAM 2

The last raising of FAD 2 was completed in 2013 with a design crest elevation of 983 ft, a maximum reservoir operating elevation of 974 ft, and a dam height of approximately 250-ft. This raising of FAD 2 incorporated back to back Mechanically Stabilized Earth (MSE) walls with a cut off system consisting of a PVC sheetpile inserted into a trenched cement bentonite cutoff wall connected to the existing clay core. The emergency overflow spillway was raised using mass concrete to minimum elevation of 974.5. The MSE wall was supported by the existing RCC crest placed during the 1997 dam raising. Inspection location plans for FAD 2 are provided in Figure 2A. A general cross section of FAD 2 showing the final dam raising is presented in Figure 2B.

2.3 BOTTOM ASH POND COMPLEX

The Bottom Ash Complex at the Cardinal Plant consists of a Bottom Ash Pond (BAP) and a Recirculation Pond (RCP) located along the Ohio River. Flow from the Bottom Ash Pond is directed to the RCP. The exterior dike crest elevation is approximately 670 ft and an overflow conduit with an inlet elevation of approximately 665.5 ft. controls the maximum Recirculation Pond water level. The arrangement of BAP Complex is shown in Figure 3.

3.0 REVIEW OF AVAILABLE INFORMATION (257.83(b)(1)(i))

A review of available information regarding the status and condition of the FAD 1, FAD 2, and the BAP Complex, which include files available in the operating record, such as design and construction information, previous periodic structural stability assessments, previous 7 day inspection reports, and previous annual inspections has been conducted. Based on the review of the data there were no signs of actual or potential structural weakness or adverse conditions.

4.0 INSPECTION (257.83(b)(1)(ii))

4.1 FLY ASH DAM 1

4.1.1 CHANGES IN GEOMETRY SINCE LAST INSPECTION (257.83(b)(2)(i))

No modifications have been made to the geometry of the FAD 1 since the 2015 annual inspection. The geometry of the impoundment has remained essentially unchanged.

4.1.2 CHANGES THAT EFFECT STABILITY OR OPERATION (257.83(b)(2)(vii))

Based on interviews with plant personnel and field observations there were no changes to the FAD 1 since the last annual inspection that would affect the stability or operation of the impounding structure.

4.1.3 INSTRUMENTATION (257.83(b)(2)(ii))

No instrumentation data is provided for Fly Ash Dam I since the reservoir has been drained and the site is now under construction to receive synthetic gypsum. The permit application submitted to the Ohio EPA to license this area as a residual waste landfill was approved on May 11, 2007 (Ohio EPA PTI # 06-07993).

4.1.4 IMPOUNDMENT CHARACTERISTICS (257.83(b)(2)(iii, iv, v))

As ash placement behind FAD 1 reached its maximum allowed level in the late 1980's, FAD 2 was constructed and began operation soon thereafter. FAD 1 and its impoundment are not subject to CCR rules since they were close well before the CCR rules were promulgated.

4.1.5 VISUAL INSPECTION (257.83(b)(2)(i))

A visual inspection of the FAD 1 was conducted to identify any signs of distress or malfunction of the impoundment and appurtenant structures. Specific items inspected included all structural elements of the dam such as inboard and outboard slopes, crest, and toe.

Results of the visual inspection of the FAD 1 performed on November 17, 2016 are provided below (photos are presented in Attachment A):

- 1. The downstream slope of FAD 1 was well protected with rockfill. Increase in vegetative growth was noticed (Photo Nos. 1 and 2). No significant erosion or slumping was observed.
- 2. Typical view of the FAD 1 emergency spillway showing minor vegetative growth (Photograph No. 3). No significant erosion was observed along the spillway (Note that the spillway conveys contact water from the FGD landfill and noncontact water from the upper reaches of the west branch of Blockhouse Hollow).
- 3. Surface water collection pipe installed at the right groin of FAD 1 is partially damaged and needs fix (Photograph No. 4).

Overall the facility is in good condition. The impoundment is functioning as intended with no signs of potential structural weakness or conditions which are disrupting to the safe operation of the impoundment.

4.2 FLY ASH DAM 2

4.2.1 CHANGES IN GEOMETRY SINCE LAST INSPECTION (257.83(b)(2)(i))

No modifications have been made to the geometry of the FAD 2 since the 2015 annual inspection. The geometry of the impoundment has remained essentially unchanged.

4.2.2 CHANGES THAT EFFECT STABILITY OR OPERATION (257.83(b)(2)(vii))

Based on interviews with plant personnel and field observations there were no changes to the FAD 2 since the last annual inspection that would affect the stability or operation of the impounding structure. The pond's water level was raised on October 5, 2016 by adding 5 ft of stoplogs to the riser structure.

4.2.3 INSTRUMENTATION (257.83(b)(2)(ii))

The location and type of instrumentation is shown on Figure 2A. The results of the measurements of various piezometers are shown in Figure 5b through 5n. The maximum recorded readings of each instrument since the previous annual inspection is shown in Table 1.

INSTRUMENTATION DATA Fly Ash Dam 2			
Instrument	Туре	Maximum Reading since last annual inspection	Date of Reading
P-1A	Piezometer	763.15	10/28/16
P-2A	Piezometer	782.31	3/23/16
P-3A	Piezometer	804.8	1/26/16
P-3B	Piezometer	784.30	3/23/16
P-1BE	Piezometer	731.23	6/13/16
P-1BW	Piezometer	739.13	11/15/15
P-2BE	Piezometer	761.36	10/28/16
P-2BW	Piezometer	733.90	1/26/16
P-2C	Piezometer	713.77	10/28/16
P-5A	Piezometer	899.33	10/28/16
P-8A	Piezometer	805.79	5/17/16
P-8B	Piezometer	779.70	1/26/16
P-9	Piezometer	786.66	4/19/16
P-10	Piezometer	776.72	11/15/15
P-11A	Piezometer	804.45	2/24/16
P-11B	Piezometer	798.56	10/28/16
MW-7	Piezometer	968.80	1/26/16

Table 1 FAD 2 Maximum recorded instruments reading since the previous annual inspection

PIEZOMETERS

A total of Sixteen (16) pneumatic piezometers and one monitoring well were installed in the foundation and various zones of the dam to monitor total hydraulic head. The piezometers' locations are shown in plan view Figure 3A (Drawing No. 13-30040) and in cross-sections (Drawing Nos. 13-30041 and 13-30042). Precipitation is measured at the plant and also continues to be slightly below normal (Figure 4). Historical records of the piezometer and observation borehole water elevations are presented in a graphical form in Figure 5, Attachment E to this report.

- A composite of all the hydrographs (Figure 5a). All piezometer showed none or a minor increase in the measured porewater pressure as a result of the raising the pond level in October 5, 2016. Figure 5b provides a record of pond discharge as measured at its Parshall flume (Drain No.14) versus the pond stage.
- Water levels in the shallow, intermediate and deep foundation showed none or a minor increase corresponding to raising the pond stage that took place in October 2016 (Figures 5c &5d).
- Water levels along the centerline of the dam are shown in Figure 5e and are segregated into hydrographs for each clustered location (Figures 5f through 5i). Piezometer P-3B is showing some decrease in water level despite the increase in FAR 2's pool level. Water levels in the downstream shell (P-1A) and drain (P-1BW) showed none or a minor increase corresponding to raising the pond stage (Figure 5i).
- Piezometer P-2BE, installed within the drain, reflects a higher-pressure head (about 27ft) in comparison to the western (right) P-2BW. Most piezometers show no increase corresponding to raising the pond stage (Figure 5j and 51).

- Piezometer P-2C, installed within the foundations of the dam show no increase corresponding to raising the pond stage (Figure 5k).
- Two standpipe type piezometers were installed in 2004 into the right bedrock abutment to monitor seepage (FA-7 & FA-8). Both of these piezometers are installed into the Morgantown Sandstone member, a well fractured and jointed, medium to coarse grained sandstone. Piezometer FA-7 also forms a clustered well site with M-11 (also screened within the Morgantown Sandstone) and S-9 (screened in the Connellsville Sandstone). M-10 is located away from the dam site but is also screened within the Morgantown Sandstone and is used to help illustrate the following trends (Figure 5n).
- Monitoring wells M-10 & M-11 showed an increase in static water levels coincident with the raising of the FAR 2 (2013) dam followed by slow decrease. Piezometer FA-7 monitors a 1 inch wide open joint (observed by a borehole camera survey prior to well installation) and reflects a steady decline that closely correlates with the declines observed in the drain piezometer P-1BW, M-10 and M-11 (Figure 5n). The long-term decline before the current pond stage raising is believed to result from the progradation of the fly ash delta forming a blanket deposit and acting as a hydraulic barrier that reduces seepage from the reservoir.
- The shallow monitoring well, S-9, is becoming more constant or slightly decreasing after raising that coincides with the FAR 2 Pool stage rising in 2004 through 2013 (Figure 5n). It is expected that S-9 will continue to decrease due to the deposition of fly ash around the abutment area. Monitoring well S-9 is screened from elevation 914 to 923 ft and the fly ash has been deposited to elevations ranging from 909 to 924 ft NGVD.
- One standpipe type piezometer (MW-7) was installed in 2014 into the left abutment to monitor potential seepage through the PVC sheet pile (Figure 5n). It appear that MW-7 readings are reflective of the water pressure in the rock at the left abutment and is currently at similar level of FAR II pool.

In general, the piezometric head elevations plots indicate that the static water levels for all piezometers are showing minor or no increase corresponding to 2016 pond stage raising.

VERTICAL AND HORIZONTAL DEFORMATION MONUMENTS

The last AEP Civil Laboratory's Deformation Review Survey Report was prepared on August 22, 2016 for vertical and horizontal deformation monuments for FAD2. Starting October 2015, a monthly basis Survey Report is being prepared by DLZ. A brief discussion of the data is provided below.

33 top of dam monuments (29901 thru 29933) were covered due to the 2014 dam raising. Replacement top of dam deformation monuments (1401 thru 1433) were installed and a base measurement was established. In addition, 12 tiltmeters were installed at the MSW wall concrete panels with less than 0.5° tilt recorded to date (Figure 50).

Vertical and horizontal deformation measurements for 33 top of dam monuments (i.e. 1401 thru 1433), 23 downstream dam face and berm monuments (i.e. 29936 thru 29958), 2 additional monuments located at the emergency spillway (i.e. 29934 and 29935) and 9 additional deformation monuments (i.e. 29959 to 29966 were made.

In general, all horizontal movement is towards a downstream direction. Review of top of dam horizontal movement plots provided in the report indicates small movements in a southerly direction (downslope), - southeast at the center of the dam; and southeast to east along the left abutment. Downstream face monuments show small movements generally in the downstream (south) direction. The least amount of movement is observed along the east end where the RCC is more fully supported by bedrock.

SLOPE INCLINOMETERS

Three slope inclinometers, SI-1, SI-2 and SI-3, were installed at the dam site as part of the 1998 dam raising project. The slope indicators are located near the alignment of the creek valley. SI-1 was installed in November 1997, and it is believed SI-2 and SI-3 were installed at a later date (date not reported in logs). Two additional slope indicators, SI-4 and SI-5, were installed in 2006 further down slope from SI-1. Copies of the SI plots are provided in the Deformation Review Survey Report. Slope indicators measurements indicate movement generally towards the southwest with a good correlation with the surface deformation monuments.

BATHYMETRIC SURVEYS

AEP's Civil Engineering Lab performed the most recent bathymetric survey on September 20, 2016. These surveys show no unusual morphological features in the vicinity of the right abutment upstream of the dam. The ash delta is prograding into this area in a uniform manner. The depressions noted in previous surveys are no longer present. The deposition of fly ash within this portion of the reservoir has increased greatly due to the sluicing to the ash at the right abutment side of the pond that started in early 2014:

Survey Date	<u>Ash Elev.</u>	Thickness Increase	Comment
March 3, 2004	873.7		
December 9, 2004	889.3	15.6ft	from Mar 04 to Dec 04
March 29, 2005	891.8	2.5ft	from Dec. 04 to Mar. 05
October 19, 2005	898.1	6.3ft	from Mar. 05 to Oct. 05
October 3, 2006	906.0	7.9ft	from Oct 05 to Oct 06
September 13, 2007	907.5	1.5ft	from Oct 06 to Sept 07
September 3, 2008	907.4	-0.1ft	from Sept 07 to Sept 08
August 31, 2009	909.0	1.6ft	from Sept 08 to Aug 09
August 30, 2010	908.5	-0.5ft	from Aug 09 to Aug 10
September 6, 2011	909.0	0.5ft	from Aug 10 to Sept 11
October 22, 2013	908.4	-0.6 ft	from Sept 12 to Oct 13
September 3, 2014	918.2	9.8 ft	from Oct 13 to Sept 14
September 22, 2015	924.0	5.8 ft	from Sept 14 to Sept 15
September 20, 2016	923.8	-0.2 ft	from Sept. 2015 to Sept. 2016

Attachment D contains the most recent bathymetric survey. Fly ash deposition within the original (March 2004) mapped depression has increased over the last few years as a result of the sluicing ash close to the Dam's right abutment (Figure 6). Over this same time period, the hydraulic gradient has remained practically constant between the Pond's pool stage and ground water levels observed in M-11. Also, the direction of ground water flow in the upper portion of the bedrock has been reversed as noted by the gradient reversal between the pond stage and S-9 and M-11.

6

The discharge from the right abutment seepage as measured at the V– notched weir has fall to around 149 gpm.

A review of the data contained on the FAD 2 static water elevation plot showed that all piezometers exhibit consistent water elevations.

4.2.4 IMPOUNDMENT CHARACTERISTICS (257.83(b)(2)(iii, iv, v))

Table 2 is a summary of the minimum, maximum, and present depth and elevation of the impounded water & CCR since the previous annual inspection; the storage capacity of the impounding structure at the time of the inspection; and the approximate volume of the impounded water and CCR at the time of the inspection.

Table 2 Summary of Relevant Storage Information FAR 2

IMPOUNDMENT CHARACTERISTICS		
Fly Ash Reservoir 2 (water pool elevation was approximately 96	58)	
Approximate Minimum depth (Elevation) of impounded	9 ft.	
water since last annual inspection	(963) ft.	
Approximate Maximum depth (Elevation) of impounded	13 ft.	
water since last annual inspection	(968) ft.	
Approximate Present depth (Elevation) of impounded	12 ft.	
water since last annual inspection	(968) ft.	
Approximate Minimum depth (Elevation) of CCR since	63 ft.	
last annual inspection	(953) ft.	
Approximate Maximum depth (Elevation) of CCR since	65 ft.	
last annual inspection (ft.)	(955 ft.)	
Approximate Present depth (Elevation) of CCR since last	65 ft.	
annual inspection	(955 ft.)	
Storage Capacity of impounding structure at the time of the inspection	2468 ac-ft	
Approximate volume of impounded water at the time of the inspection	2000 ac-ft.	
Approximate volume of CCR at the time of the inspection	9400 ac-ft	

4.2.5 VISUAL INSPECTION (257.83(b)(2)(i))

A visual inspection of the FAD 2 was conducted to identify any signs of distress or malfunction of the impoundment and appurtenant structures. The inspection also included hydraulic structures underlying the base of the dike. Specific items inspected included all structural elements of the dam such as inboard and outboard slopes, crest, and toe; as well as appurtenances such as the outlet structure at the FAD 2 and pipe discharge structure.

Results of the visual inspection of FAD 2 performed on November 17, 2016 are provided below (photos are presented in Attachment B):

- 1. Photographs Nos. 5 & 6 shows the overall view of the FAD 2 as taken from the FAD 2 access road.
- 2. The discharge structure was inspected closely at the locations of the diagonal joint and diagonal crack in the RCC face, as shown in Photographs Nos. 7-11. There was no visual evidence of significant differential movement of the structure chute or steps. Visual portions of the structure's concrete, diagonal joint and steps appeared to be in good condition. The diagonal crack in the underlying RCC has weathered and infilled and is no longer visible. The overlying diagonal construction joint in the skimmer chute continues to exhibit no differential movement and was caulked and sealed in anticipation of it being inundated during the next pool raising.

- 3. The upstream RCC slope appeared to be stable with no significant wave cut erosion, slumping or cracking (Photos Nos. 12 and 13).
- 4. The RCC crest surface is mostly covered by the new MSE Wall construction. The top surface of the gravel road appears to be in good conditions with no signs of major rutting or settlement
- 5. The emergency spillway channel is cut through natural high ground. The channel's left slope continues to have bank seepage that is conveyed to a shallow ditch along the toe of the slope with subsequent discharge through Drain No. 12 at the mouth of the emergency spillway channel. The channel abutment slopes appeared stable with no visible signs of slumping or significant erosion (Photograph No. 14).
- 6. The emergency spillway has a downstream slope channel constructed of RCC steps and berms between the concrete retaining walls as shown in Photograph No. 15. The concrete walls and concrete steps appeared to be in good condition while the spillway's 2-ft high RCC steps continue to weather.
- 7. The downstream slope of the dam appeared to be in good condition with good vegetative growth as shown in Photograph Nos. 16& 17. No significant erosion was observed and the slopes appeared to be uniform with no slumping or bulges.
- 8. The right downstream groin ditch was in good condition. The discharge from Drain No. 7 is clear and no sediment deposits were observed. The groin appeared to be generally in good conditions.
- 9. Right abutment seepage is collected and measured from the open weir chimney/toe drain drainage blanket (Drain No. 1). Vegetation was removed along the slopes and adjacent to the stairwell and the downstream channel below the weir discharge point. The discharge was approximately the same as the previous inspection and was visually clear (Photographs 19 to 22).
- 10. The left groin ditches and discharge pipe were observed to be in good conditions. The vegetation was cut back to the left of the pipeline allowing excellent visual observation of the abutment. No significant uncontrolled seepage along this portion of the abutment or as the discharge pipe enters into the ground prior to its connection to the energy dissipater structure was observed. No significant erosion, slumping or bulges were observed. Minor vegetation growth within the groin ditch needs to be eliminated using spry chemicals (Photograph No. 23).
- 11. The energy dissipator structure and downstream channel appeared to be in good condition (Photograph Nos. 24).
- 12. The dam's concrete flume (identified as Drain 14 (NPDES Permit Outfall # 000)) was observed to be in excellent condition and flow was unobstructed.
- 13. Seepage along the right abutment is collected and measured from the open weir installed in 2013. The water remains visually clear. No additional ash laden seepage has occurred since April 2004 (Photographs Nos. 25 and 26). The seepage rate from the spring is estimated to be less than 75 gpm shown in Photo No. 26.

- 14. Seepage along the right abutment at slightly higher elevations started in the fall of 2013 and was fixed with an installation of inverted filter (Photograph No. 18). A visually clear seepage was observed at an estimated flow rate of 0.6 gpm.
- 15. Typical view of the FAR 2 pond looking towards FAR 1 dam crest (Photograph No. 27). The pond stage was 968 ft NGVD at the time of the inspection.
- 16. The discharge lines sluicing ash at their discharge point into the pond were partially inundated because of the rise of the FAR 2 water level (Photograph No. 28). The lines needs be cut at a higher elevation.
- 17. The ash delta is prograding away from its discharge point and generally follows the apex of the valley towards the decant structure located along the face of the dam (Photo No. 29).
- 18. Additional stop logs are available for the future raising of the water level at FAR 2 pond. (Photo No. 30).
- 19. Partial view of the upstream slope of the FAD 2 showing the ash sluicing to the right corner of the upstream slope, in the background, the pumping platform installed within the FAR 2 impoundment is shown (Photo No. 31). The pump is operated by Quality Environmental Services to deliver make up water to the coal preparation plant located in the headwaters of Blockhouse Run's western branch and operated by Ohio American Energy, Inc.

Overall the facility is in good condition. The impoundment is functioning as intended with no signs of potential structural weakness or conditions which are disrupting to the safe operation of the impoundment. Additional pictures taken during the inspection can be made available upon request.

4.3 BOTTOM ASH POND COMPLEX

4.3.1 CHANGES IN GEOMETRY SINCE LAST INSPECTION (257.83(b)(2)(i))

No modifications have been made to the geometry of the BAP Complex since the 2015 annual inspection. The geometry of the impoundment has remained essentially unchanged. A security fencing was added to the riverside of the pond at the outer edge of the crest in order to comply with AEP's security requirements.

4.3.2 CHANGES THAT EFFECT STABILITY OR OPERATION (257.83(b)(2)(vii))

Based on interviews with plant personnel and field observations there were no changes to the BAP Complex since the last annual inspection that would affect the stability or operation of the impounding structure.

4.3.3 INSTRUMENTATION (257.83(b)(2)(ii))

The location and type of instrumentation is shown on Figure 3. The results of the measurements of various piezometers since November 2015 are shown in Figure 5p. The maximum recorded readings of each instrument since the previous annual inspection is shown in Table 3.

Figure 5p presents the historical piezometric head elevations of all the piezometers along with the two pond's stages. The fluctuation of a few of the instruments could be directly correlated to the fluctuation in the pond stage.

A review of the data contained on the BAP Complex static water elevation plot showed that all piezometers exhibit consistent water elevations.

Table 3 BAP Complex Maximum recorded instruments reading since the previous annual inspection

INSTRUMENTATION DATA Bottom Ash Pond Complex			
Instrument	Туре	Maximum Reading since last annual inspection	Date of Reading
2-N	Piezometer	664.79	10/4/16
3-S	Piezometer	660.78	10/4/16
B-0902	Piezometer	657.08	10/4/16
B-0904	Piezometer	655.60	7/12/16
B-0905	Piezometer	645.65	7/12/16

4.3.4 IMPOUNDMENT CHARACTERISTICS (257.83(b)(2)(iii, iv, v))

Table 4 is a summary of the minimum, maximum, and present depth and elevation of the impounded water & CCR since the previous annual inspection; the storage capacity of the impounding structure at the time of the inspection; and the approximate volume of the impounded water and CCR at the time of the inspection.

 Table 4 Summary of Relevant Storage Information BAP Complex

IMPOUNDMENT CHARACTERISTICS		
Bottom Ash Pond Complex		
Approximate Minimum depth (Elevation) of impounded water since last	5 ft.	
annual inspection	(663) ft.	
Approximate Maximum depth (Elevation) of impounded water since last	10 ft.	
annual inspection	(665) ft.	
Approximate Present depth (Elevation) of impounded water since last	7.5 ft.	
annual inspection	(664) ft.	
Approximate Minimum depth (Elevation) of CCR since last annual	8 ft.	
inspection	(657) ft.	
Approximate Maximum depth (Elevation) of CCR since last annual	13 ft.	
inspection (ft.)	(652 ft.)	
Approximate Present depth (Elevation) of CCR since last annual inspection	10.5 ft.	
(654.5 ft.)		
Storage Capacity of impounding structure at the time of the inspection 324 ac-ft		
Approximate volume of impounded water at the time of the inspection 160 ac-f		
Approximate volume of CCR at the time of the inspection 164 ac-ft		

4.3.5 VISUAL INSPECTION (257.83(b)(2)(i))

A visual inspection of the BAP Complex was conducted to identify any signs of distress or malfunction of the impoundment and appurtenant structures. The inspection also included hydraulic structures underlying the base of the dike. Specific items inspected included all structural elements of the dam such as inboard and outboard slopes, crest, and toe; as well as appurtenances such as the outlet structure at the BAP Complex, and pipe discharge structure.

Results of the visual inspection of the BAP Complex performed on November 17, 2016 are provided below (photos are presented in Attachment C):

- 1. The BAP and RCP downstream slope along the Ohio River was well protected with vegetation or riprap as typically shown in Photographs Nos. 34 to 38. The vegetation showed a good established growth and is maintained by mowing every year (Photographs Nos. 34 to 36). The trees shown in the photographs along the riverbank are generally located below the toe of the slope and serve to protect the river bank from erosion. Oversized rock at the very southern end of the recirculation pond was replaced with an inverted filter drain to control seepage emanating from the impoundment as shown in Photograph Nos. 37 to 38.
- 2. The RCP overflow pipe, concrete and riprap appeared in good condition as shown in Photograph No. 37 and 39. The upstream concrete inlet structure was also in satisfactory condition. The pond water level was well below the invert of the steel weir (Photograph No. 39).
- 3. The crest and interior slopes of the BAP and the Recirculation Pond were in generally good condition as shown in Photograph Nos. 40, to 42, 44 and 52.
- 4. The BAP discharge structure concrete and steel platform were in good condition, as shown in Photograph No. 43. The railings are showing minor rust and the steel support members to the walkway are showing fair conditions with minor corrosion.
- 5. The BAP downstream slope on the west side has two old seepage areas that have been repaired with an inverted riprap filter. These seepage areas appeared stable with grass growing in the immediate vicinity of the seepage. Photograph Nos. 44 and 45 show typical exterior slope conditions. The remainder of the BAP west side slope was well protected with bottom ash and slag.
- 6. Photograph Nos. 46 and 47 show the upstream, crest and downstream of the splitter dike conditions. Minor erosion was noticed at the corners of the dike.
- 7. The PVC sheet piling installed across the width of the recirculation pond appears to be stable with no change noted along the slight bulge in the sheet pile alignment previously noted at the time of installation (Photo Nos. 48 and 49).
- 8. The contractor constructed a bridge across the bottom ash pond channel to continue reclamation of the bottom ash from the pond (Photo No.51). The bottom ash sluice lines were generally clear allowing for unobstructed flow into the pond (Photo No.52).
- 9. Three seepage areas with minimal flow were found along the downstream slope of the eastern dike during quarterly inspections and persisted through this annual inspection. Seep areas are to be monitored on weekly basis (Photos No.53 through 56).

Overall the facility is in good condition. The impoundment is functioning as intended with no signs of potential structural weakness or conditions which are disrupting to the safe operation of the impoundment.

5.0 SUMMARY OF FINDINGS

5.1 MAINTENANCE ITEMS

The following maintenance items were identified during the visual inspection:

<u>Fly Ash Dam 1</u>

- Vegetation control on the outboard slopes is to be kept under control by mowing or spraying.
- Damaged pipes at the right groin are to be fixed and/or backfilled.

Fly Ash Dam 2

• Ash sluicing pipes needs to be cut at elevation 5 ft higher than the pond water level (968.0) to prevent the submergence of the pipes.

Bottom Ash Pond Complex

• There are no items to be addressed.

5.2 ITEMS TO MONITOR

<u>Fly Ash Dam 1</u>

• There are no items to monitor.

Fly Ash Dam 2

• Seepage in the rock in the left abutment should be monitored on weekly basis. Changes in the rate or the clarity of the seep should be reported to GES on the day of the inspection.

Bottom Ash Pond Complex

• Minor seepage along the downstream slope of the eastern dike should be monitored on weekly basis. Changes in the rate or the clarity of the seep should be reported to GES on the day of the inspection.

5.3 DEFICIENCIES (257.83(b)(2)(vi))

There were no deficiencies or signs of structural weakness or disruptive conditions that were observed at the time of the inspection that would require additional investigation or remedial action. There were no deficiencies noted during any of the periodic 7-day or 30-day inspections. If any of these conditions occur before the next annual inspection contact AEP Geotechnical Engineering immediately.

If you have any questions with regard to this report, please contact Mohammad Ajlouni at Audinet: 200-2939 or Gary Zych at Audinet: 200-2917.

ATTACHMENT A: Photographs – Fly Ash Dam 1

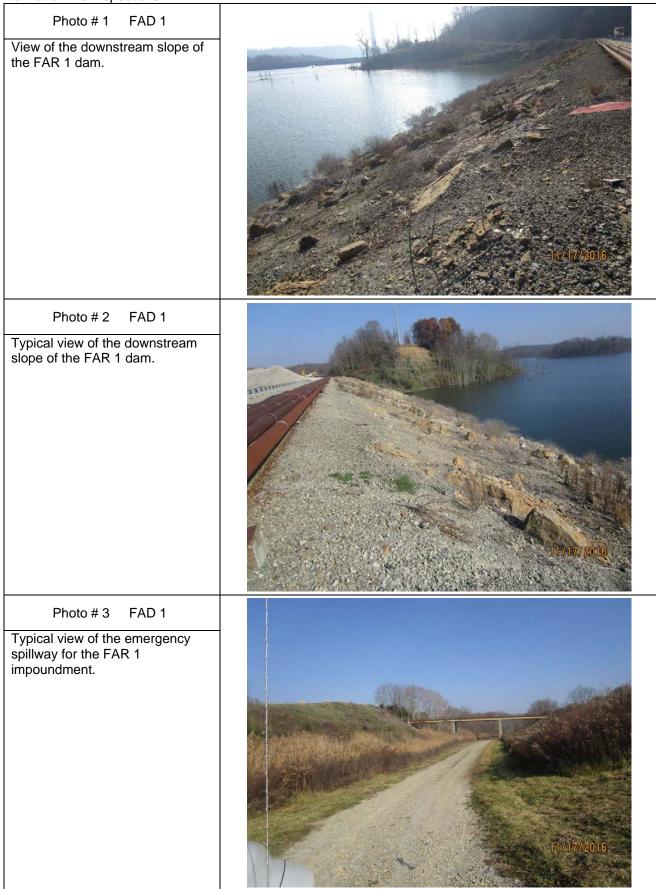
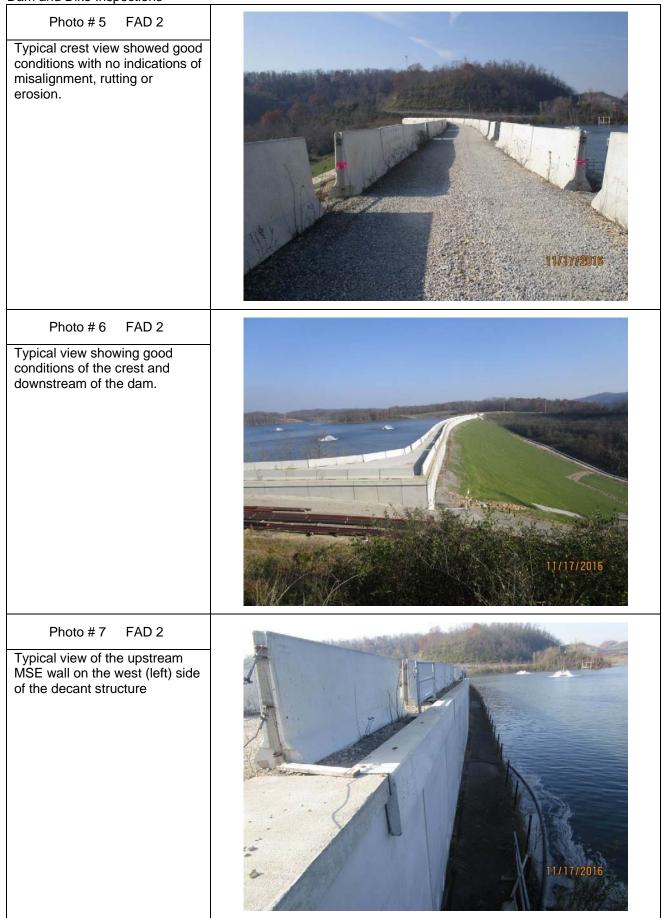
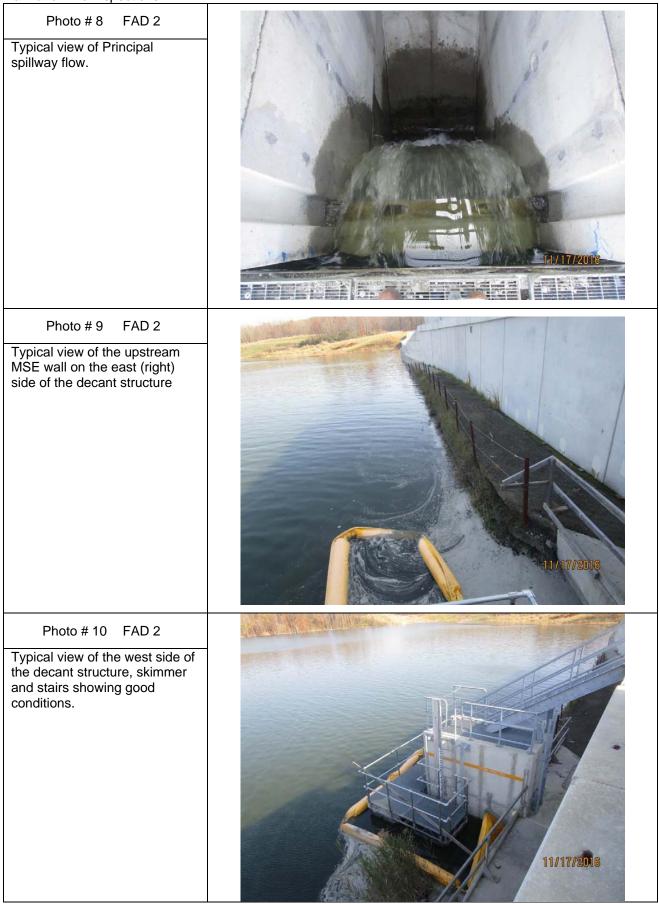
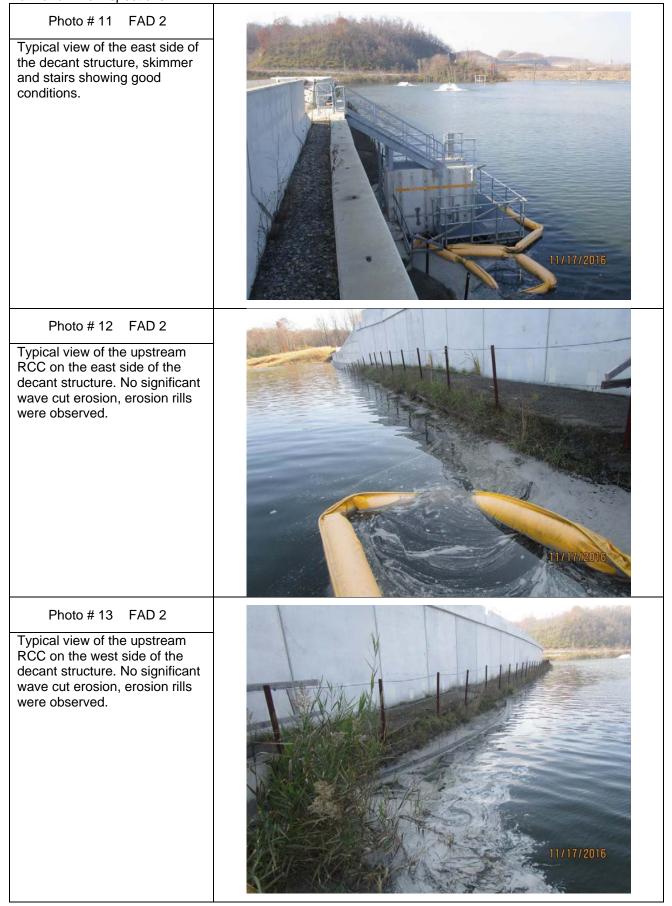


Photo # 4 FAD 1	
Right Groin of FAD 1 showing a	
damaged surface water pipe.	
	a contraction of the second
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	Contraction (Lot March 1997)
	A START AND A STAR

ATTACHMENT B: Photographs – Fly Ash Dam 2







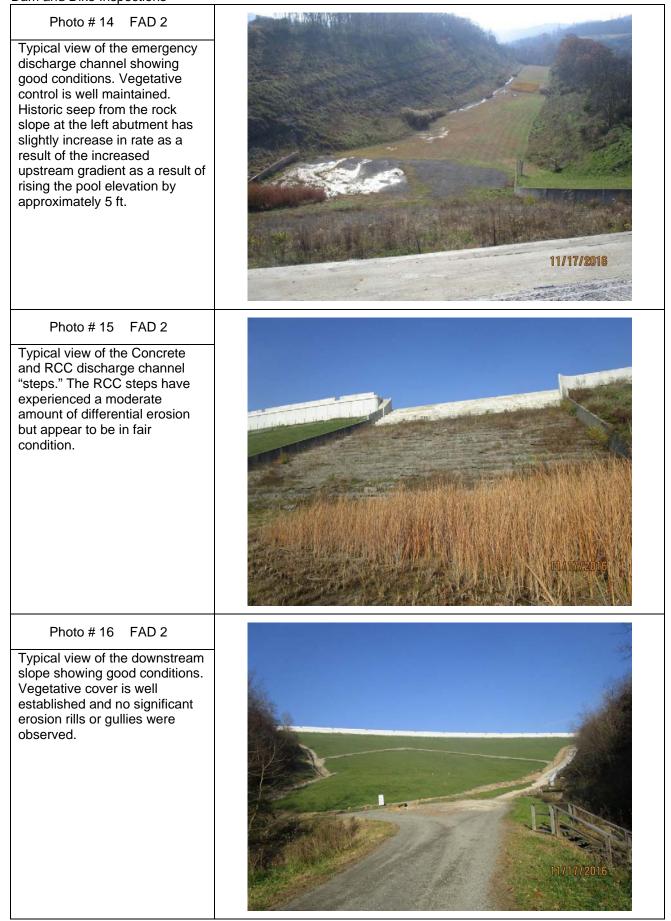


Photo # 17 FAD 2 Typical view of the downstream slope showing good conditions. No significant erosion was observed along the contact between the RRC and the vegetative cover. No bulging or slumping was observed. The slopes appeared to be uniform. Photo #18 FAD 2 Typical view of the right groin ditch. The woody shrub/small tree growth has been removed from the ditch per previous recommendations. The rip rap is a hard limestone and showed no significant weathering or deterioration. Flow measurements was taken of the drain recently installed to monitor seep fix area at the upper right groin (See newly placed Riprap) Photo #19 FAD 2 Typical view of Drain No. 2 that discharges from the right abutment drainage blanket. The discharge was visually clear but has increased.

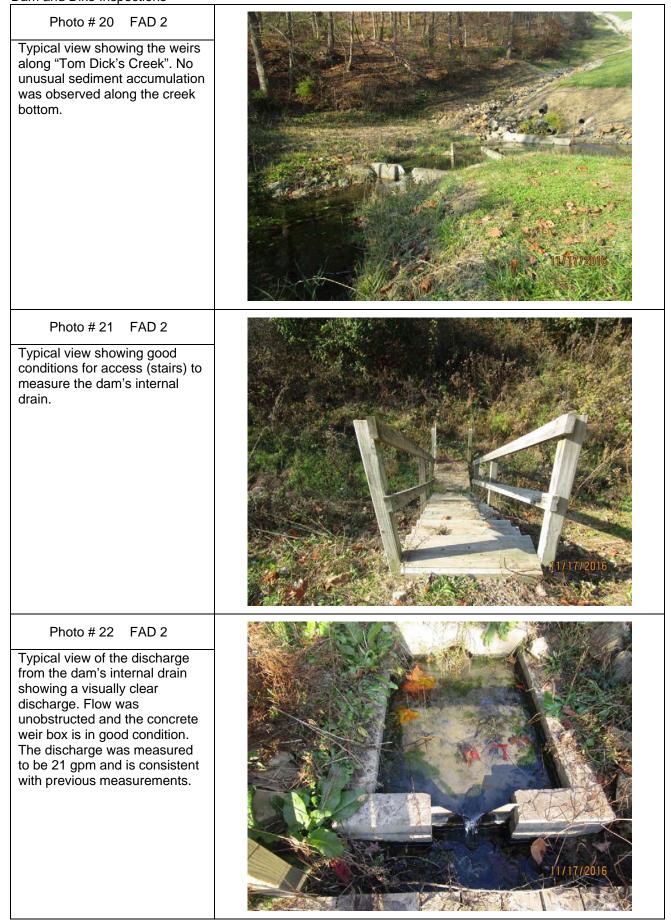
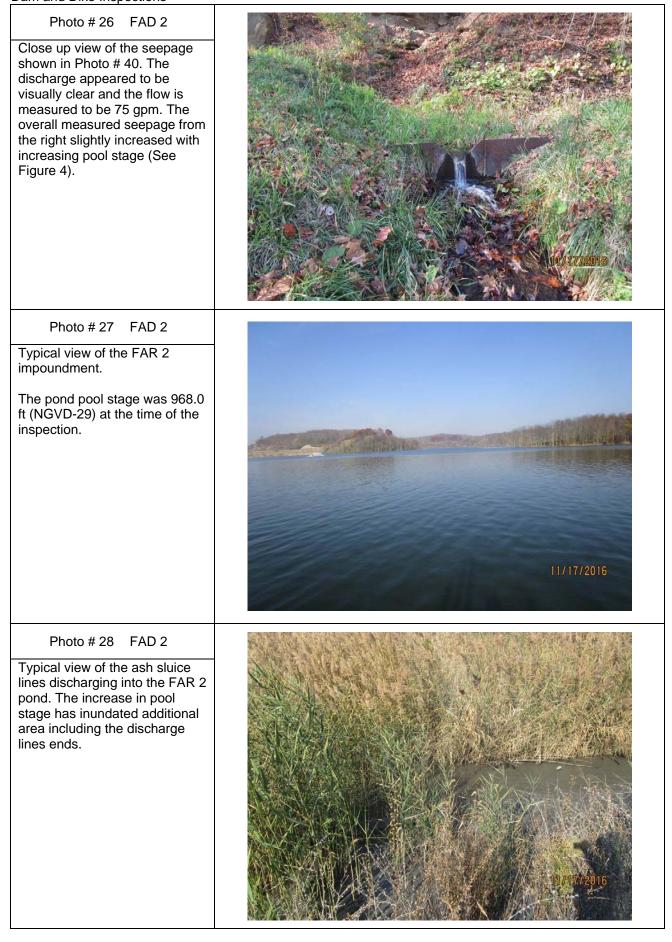
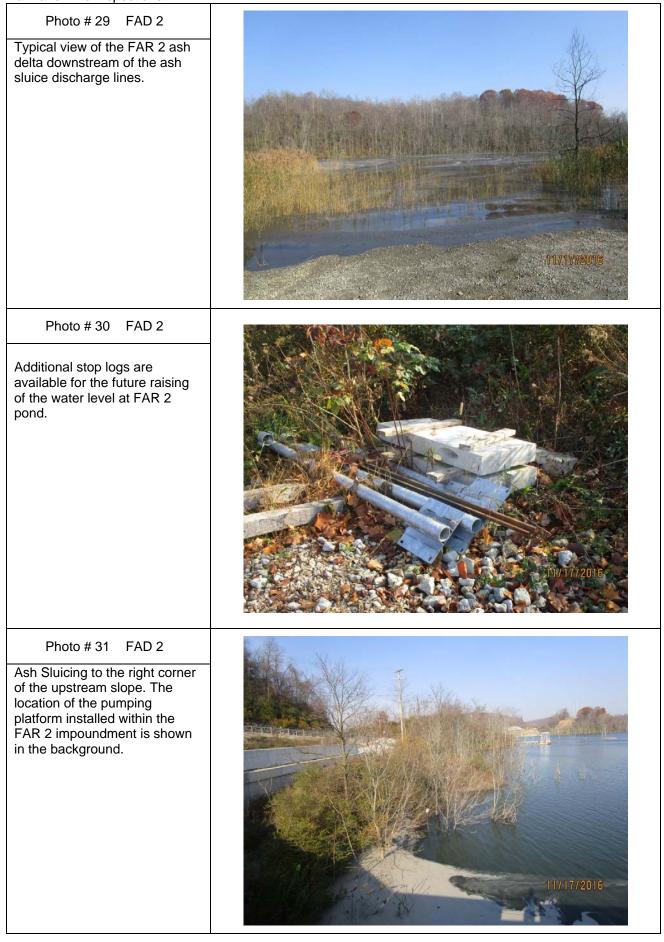


Photo # 23 FAD 2	
Typical view showing good conditions of the left groin ditch and discharge pipe. No leakage was observed along the pipe and access to the pipe was good. The left ditch was unobstructed and the rip rap was in sound condition.	
Photo # 24 FAD 2	
View of the energy dissipater showing good conditions of the concrete structure. No cracking, spalling was observed.	
Photo # 25 FAD 2 View of the location along the right abutment where historical seepage is occurring– The exposed bedrock is part of the Morgantown sandstone. A new 90° v-notch open weir was installed in 2013	





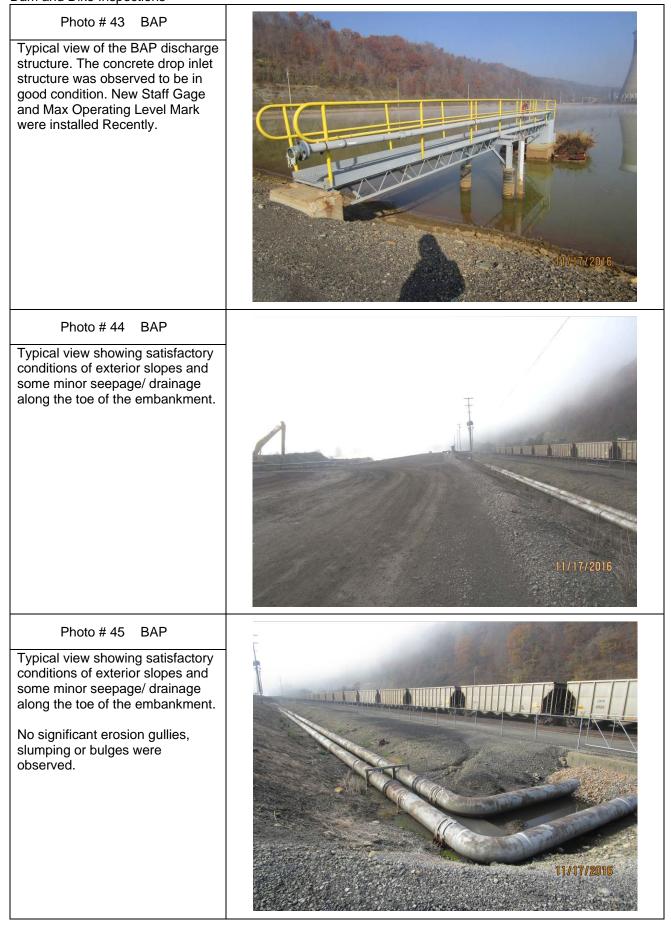
Dam and Dike Inspections	
Photo # 32 FAD 2 Ash Dredging to the right corner of the upstream slope. The location of the pumping platform installed within the FAR 2 impoundment is shown in the background.	
Photo # 33 FAD 2 Two aerators are operating in the FAR 2 pond and are used to keep the impoundment from stratifying and direct the cenospheres to move towards the shoreline allowing greater penetration of sunlight into the pond and promote the growth of algae.	T1/17/2016

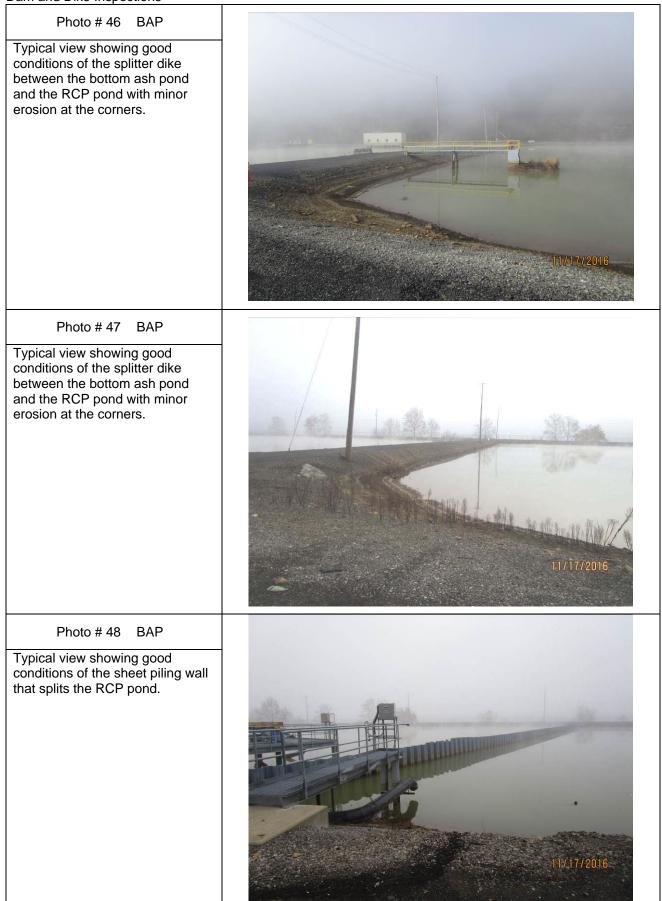
> ATTACHMENT C: Photographs –Bottom Ash Pond Complex

Photo # 34 BAP	
The embankment along the Ohio River showed a good growth of vegetative cover and is regularly controlled by mowing. No slumping, or bulges was observed. The trees are located along the Ohio River and are being left in place to protect the riverbank.	
Photo # 35 BAP	
The embankment along the Ohio showing piezometer along the toe of the embankment. The trees are located along the Ohio River and are being left in place to protect the riverbank.	
Photo # 36 BAP	
No slumping, bulges or seepage was observed. The trees are located along the Ohio River and are being left in place to protect the riverbank.	11/17/2016

Dam and Dike Inspections	
Photo # 37 BAP	
Typical view showing good condition of the rip rap and downstream outlet of the RCP discharge pipe.	
Photo # 38 BAP	atten T
Typical view showing good condition of the rip rap. An inverted filter drain was extended in late 2009 through this area to control seepage emanating from the pond.	
Photo # 39 BAP	
The RCP overflow structure's concrete was observed to be in good condition. No spalling or cracking of the concrete was observed. The RCP overflow structure has been retrofitted with a steel weir.	

Photo # 40 BAP The road crest showed good conditions with no indications of misalignment, rutting or erosion. The piezometer in the foreground is well protected and is currently being monitored by plant personnel. Photo # 41 BAP Typical view showing good conditions of interior slopes and crest of the bottom ash pond. Photo # 42 BAP Typical view showing good conditions of interior slopes and crest of the bottom ash pond west dike. One rut was noticed at the dike crest III. · January





Dam and Dike Inspections	
Photo # 49 BAP	
Typical view showing good conditions of the sheet piling wall that splits the RCP pond.	
Photo # 50 BAP	
Typical view of the bridge across the bottom ash pond discharge channel into the pond. The channel appeared to be in good condition and flow was unobstructed.	Т/17/2016
Photo # 51 BAP	
Typical view showing good conditions of the bottom ash discharge pipes. Access to the discharge lines is being maintained, Discharge into the channel and flow through the channel was unobstructed.	

November 17, 2016 Cardinal Plant Dam and Dike Inspections



November 17, 2016 Cardinal Plant Dam and Dike Inspections

Photo # 55 BAP	
General View of the Location of Seep #2.	
Photo # 56 BAP	
General View of the Location of Seep #3.	

Annual Dam and Dike Inspection Report (2016) Cardinal Plant

> ATTACHMENT D: Bathymetric Surveys (September 20, 2016)

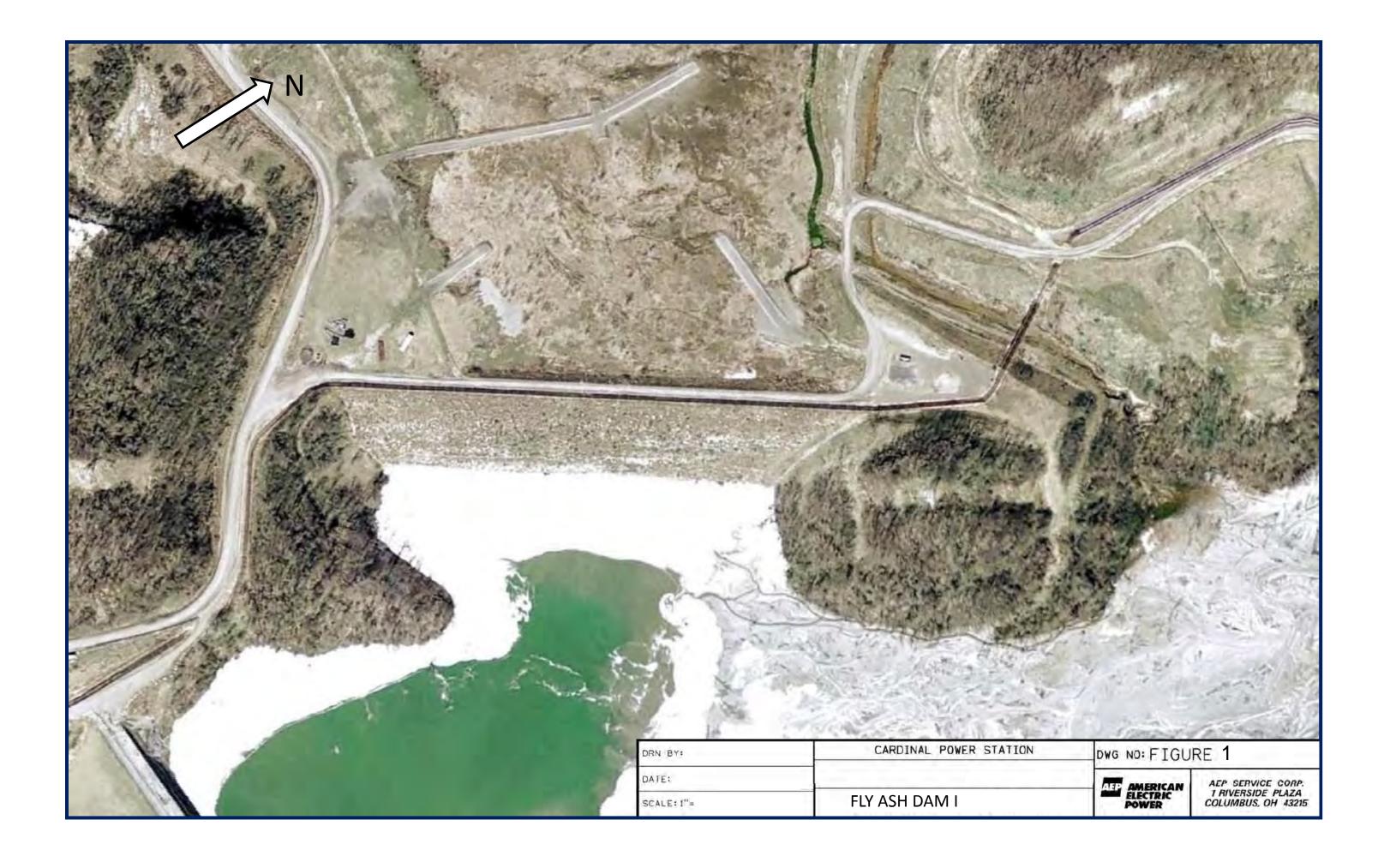


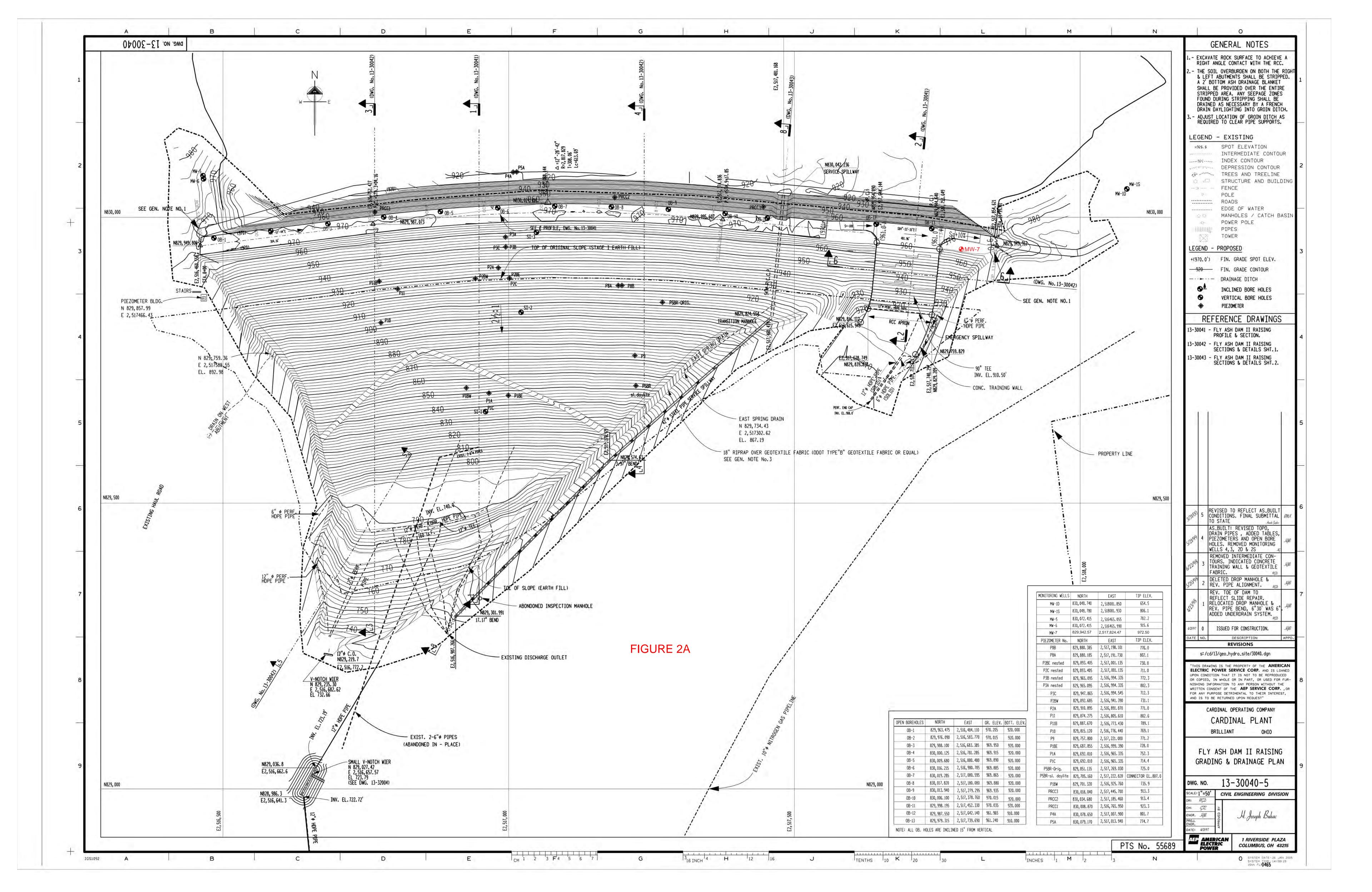
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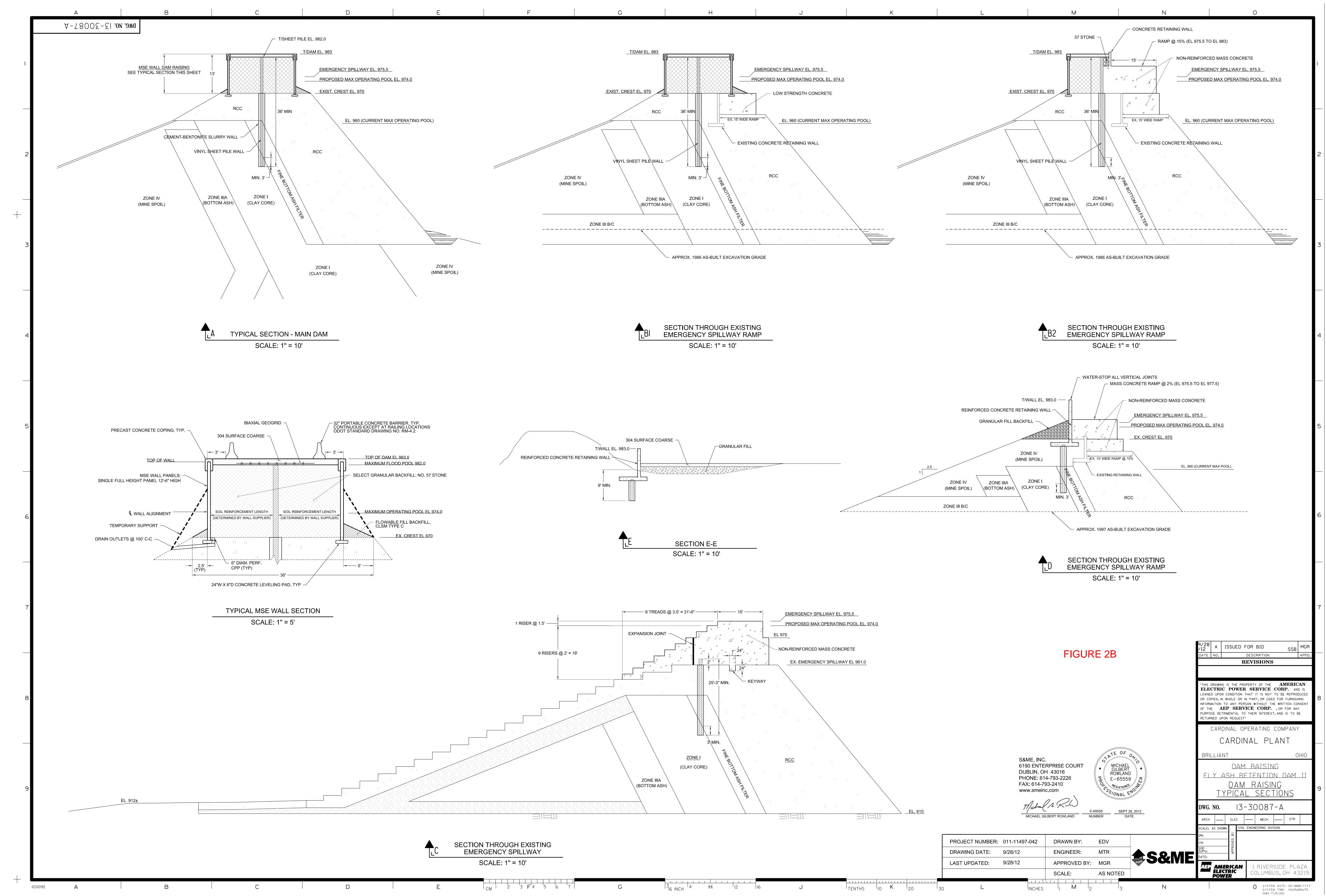
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Annual Dam and Dike Inspection Report (2016) Cardinal Plant

> ATTACHMENT E: Figures & Drawings 13-30040, 13-30041 & 13-30042







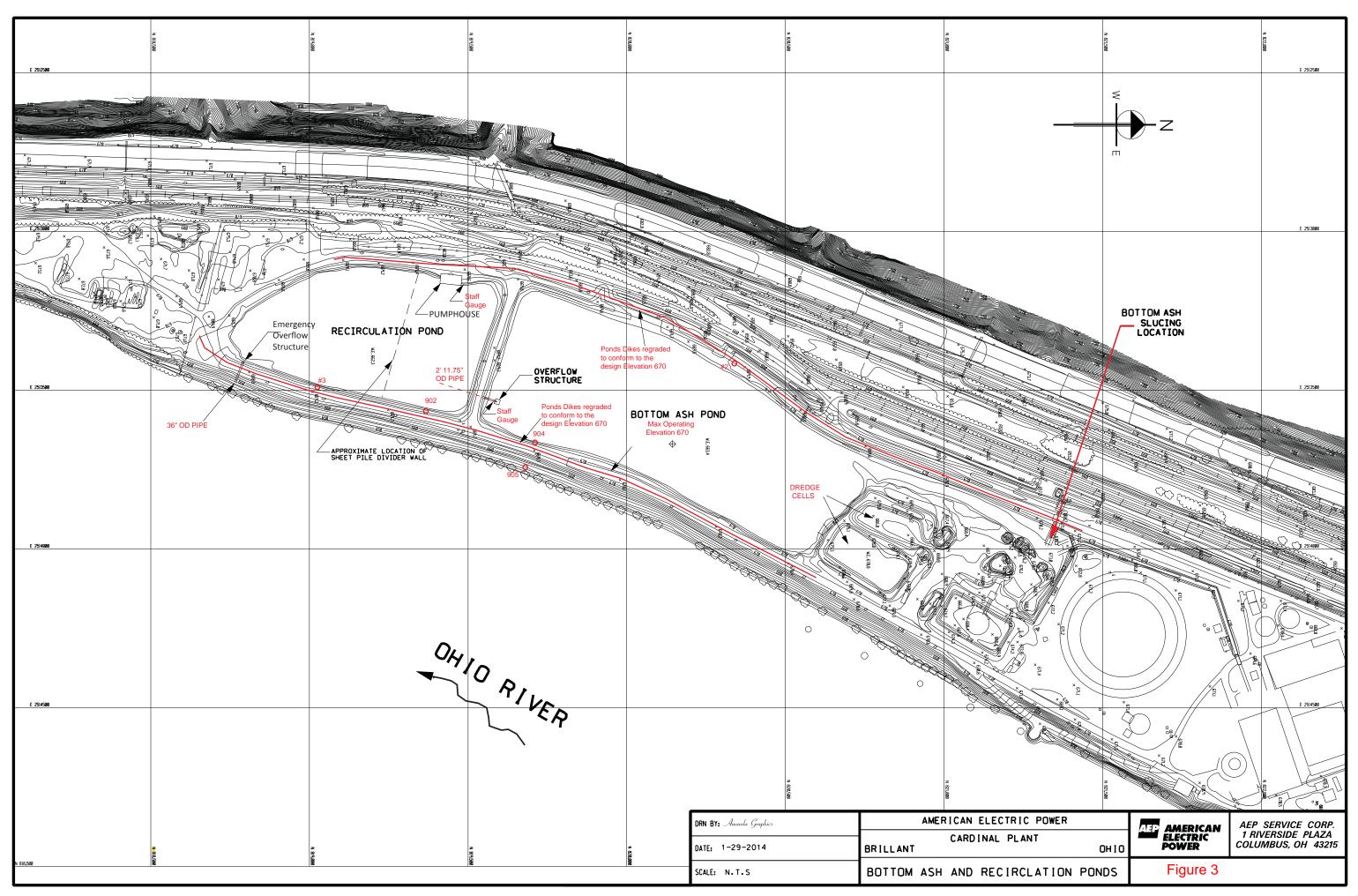


Figure 4 Cardinal FAD 2

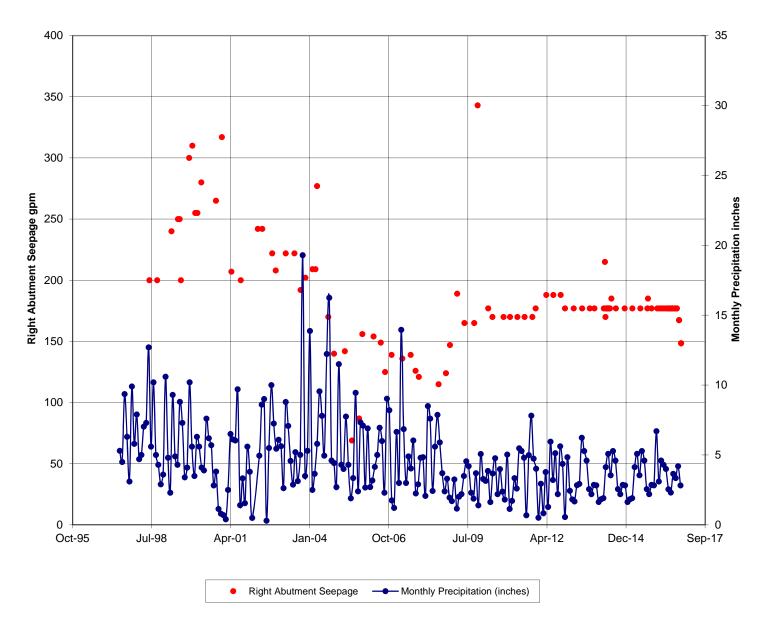


Figure 5a Cardinal FAD 2

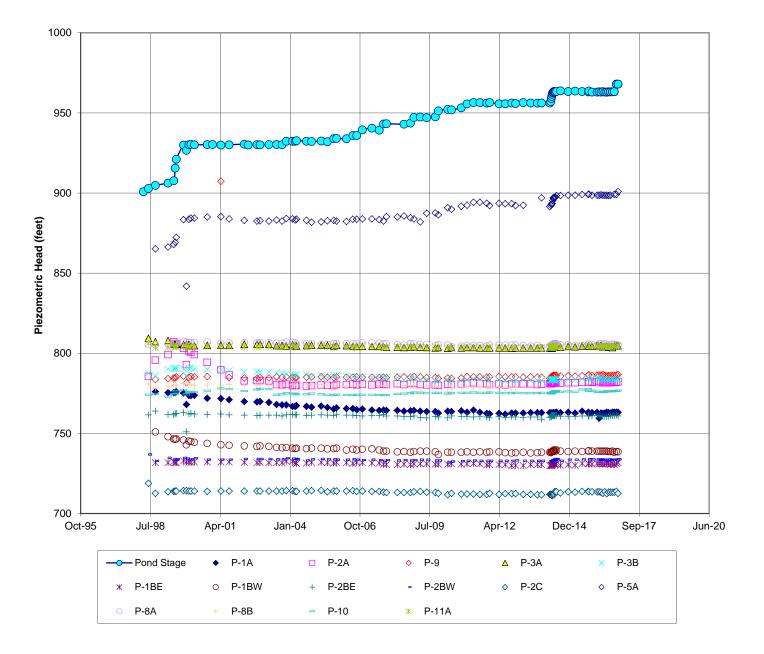


Figure 5b Pool Stage verses Discharge Cardinal FAD 2

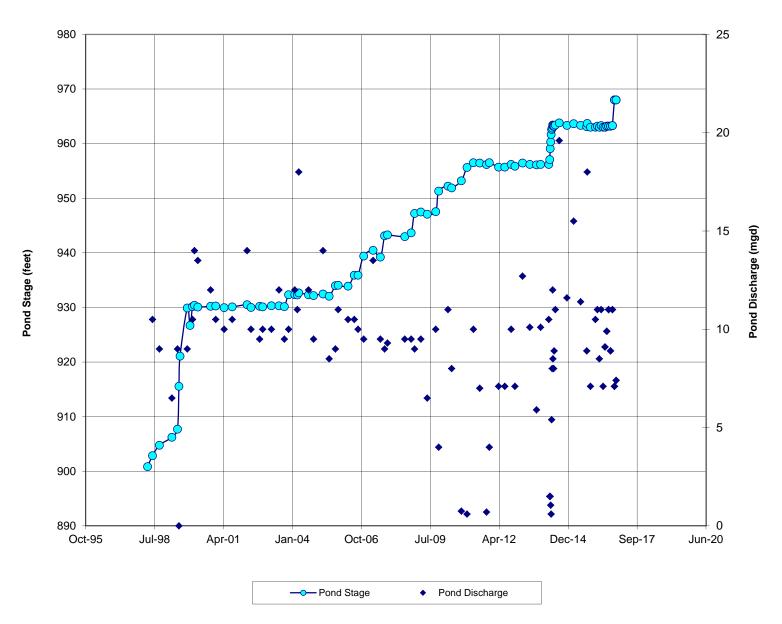


Figure 5c Cardinal FAD 2 Right of Center Foundation Piezometers

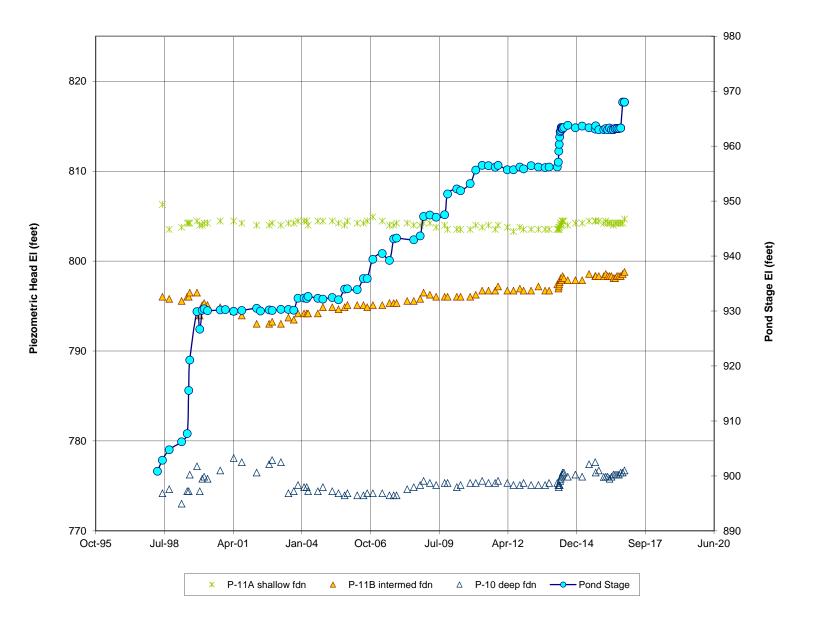


Figure 5d Cardinal FAD 2 Left of Center Foundation Piezometers

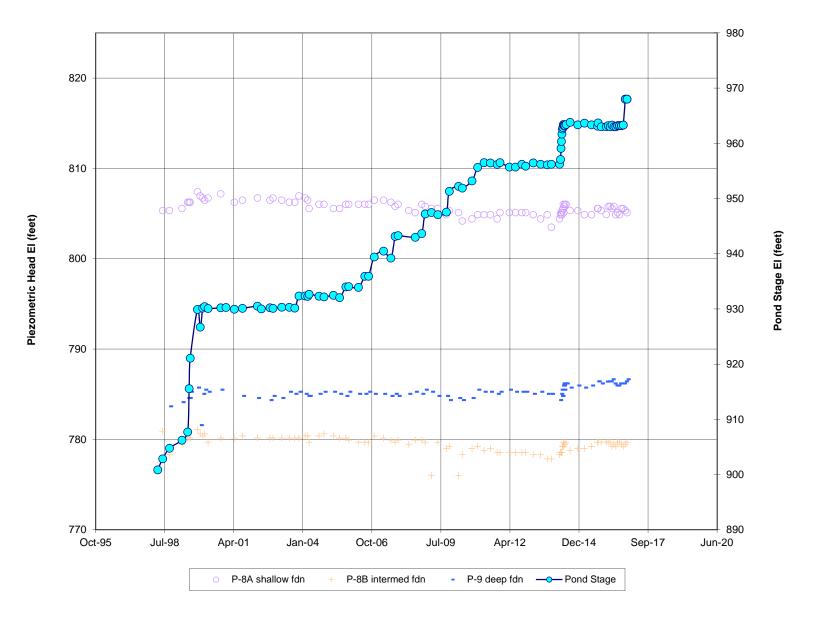


Figure 5e Cardinal FAD 2 Centerline of Dam

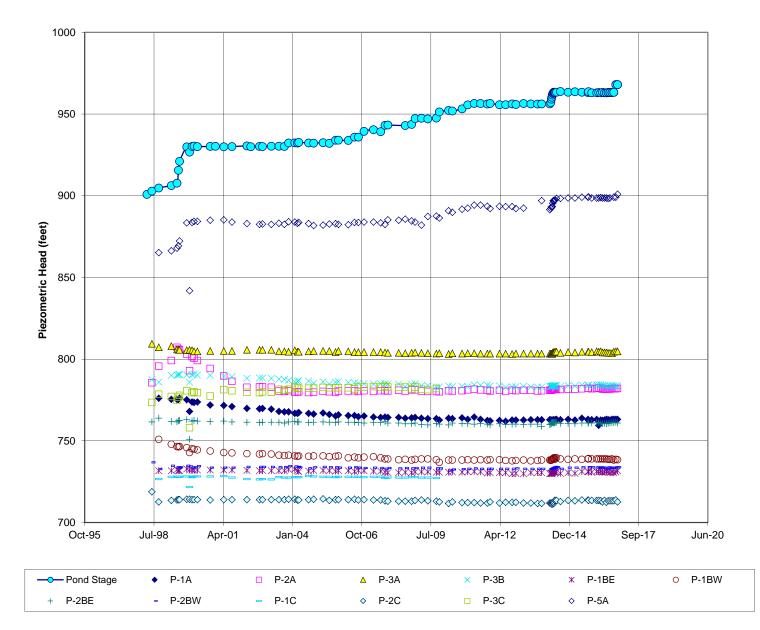


Figure 5f Cardinal FAD 2 Centerline of Dam

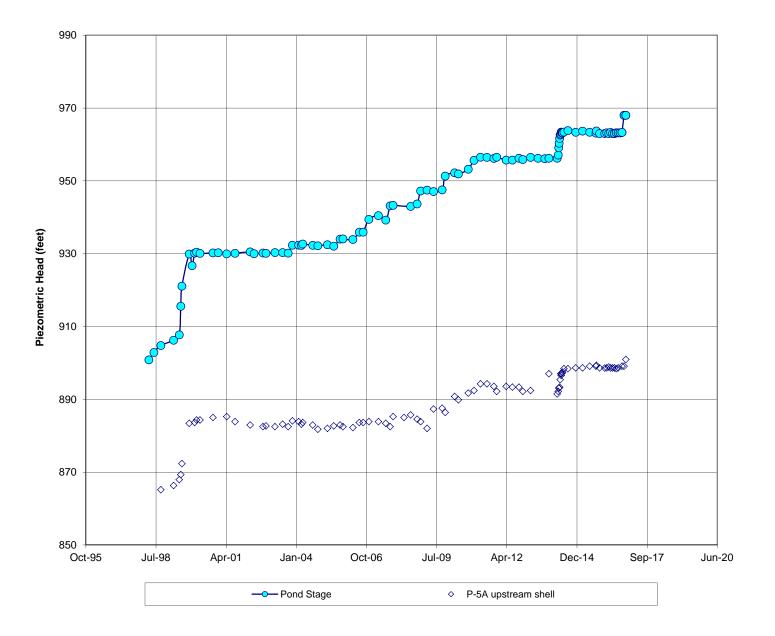


Figure 5g Cardinal FAD 2 Centerline of Dam Clustered Piezometer Site

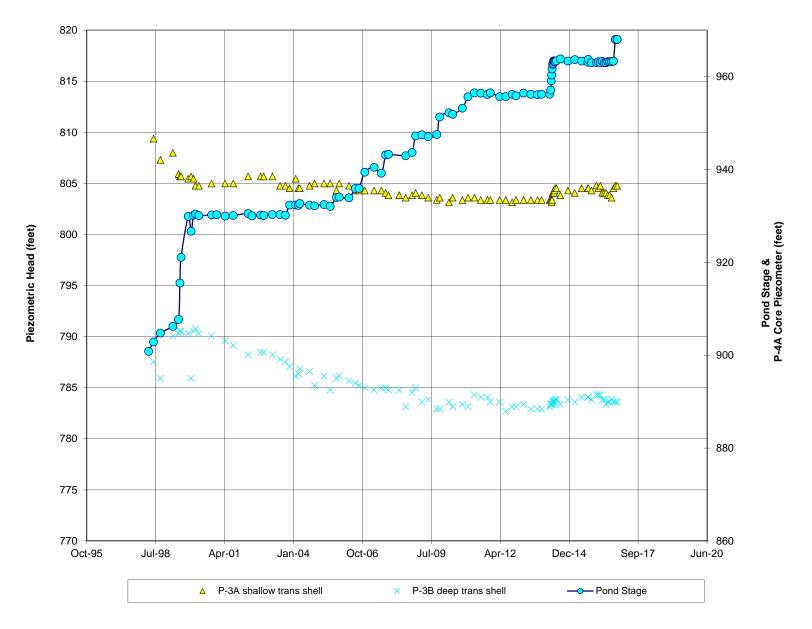


Figure 5h Cardinal FAD 2 Centerline of Dam Clustered Piezometer Site

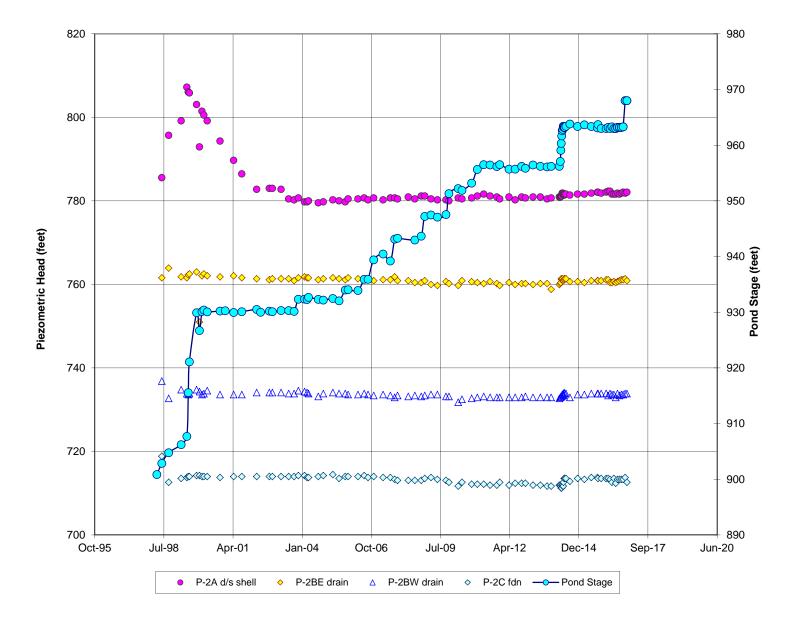


Figure 5i Cardinal FAD 2 Centerline of Dam Cluustered Piezometer Site

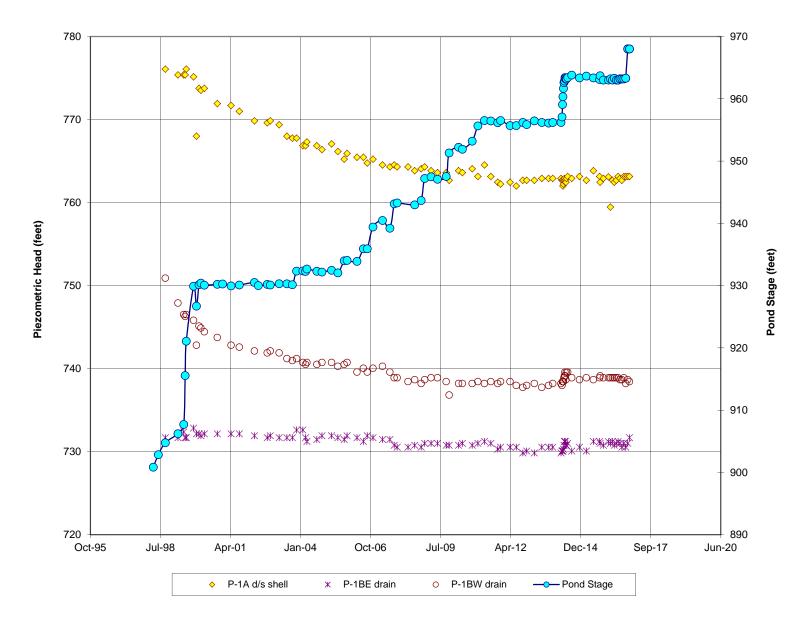


Figure 5j Cardinal FAD 2 Centerline of Dam Drain Piezometers

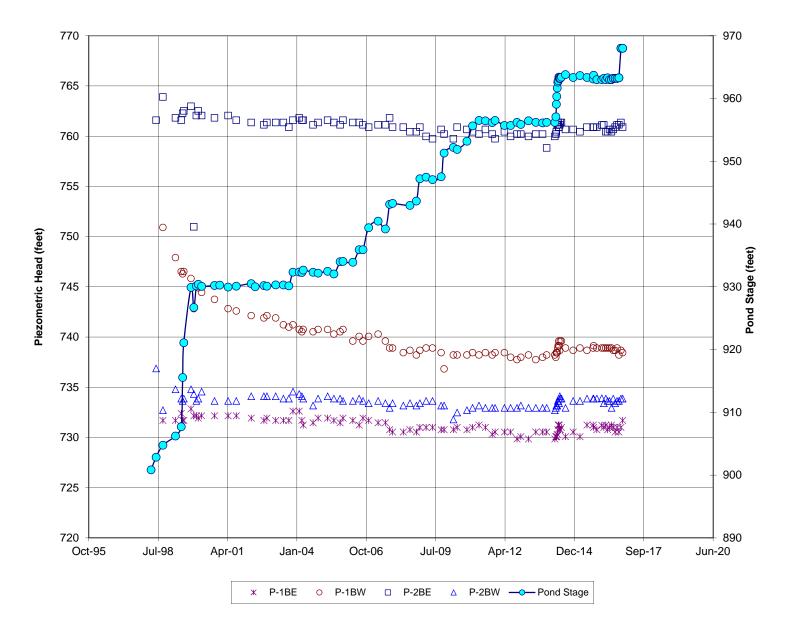


Figure 5k Cardinal FAD 2 Centerline of Dam Foundation Piezometers

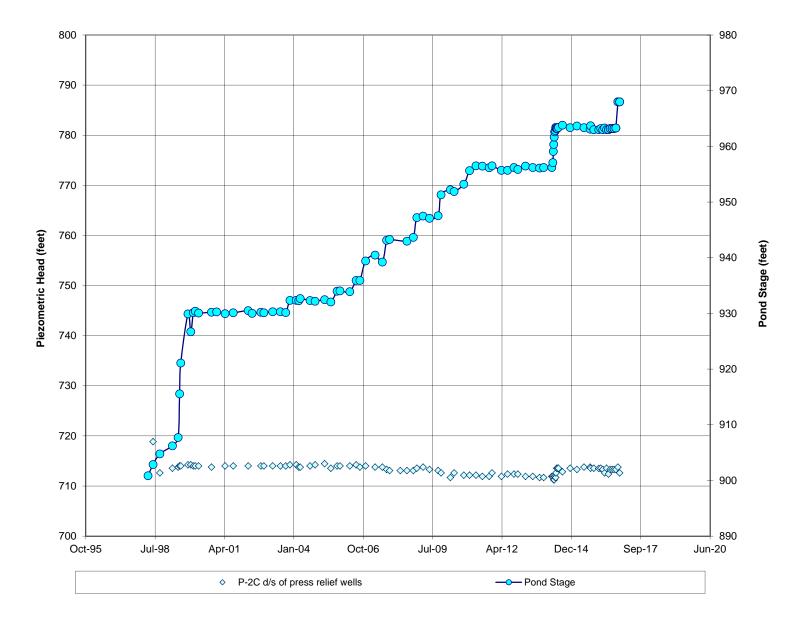


Figure 5I Cardinal FAD 2 Centerline of Dam Drain Piezometers & Discharge

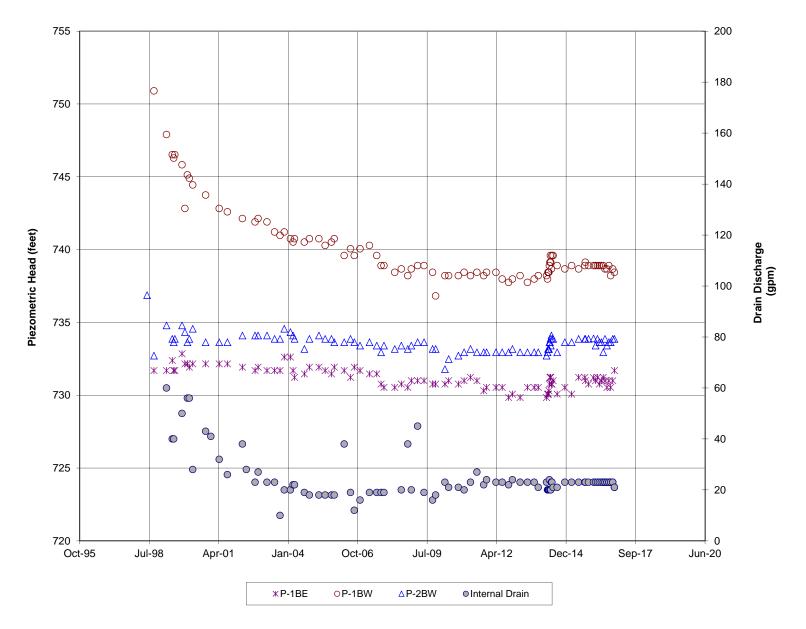


Figure 5m Cardinal FAD 2 Centerline of Dam Drain Piezometers & V-Notched Weir Discharge

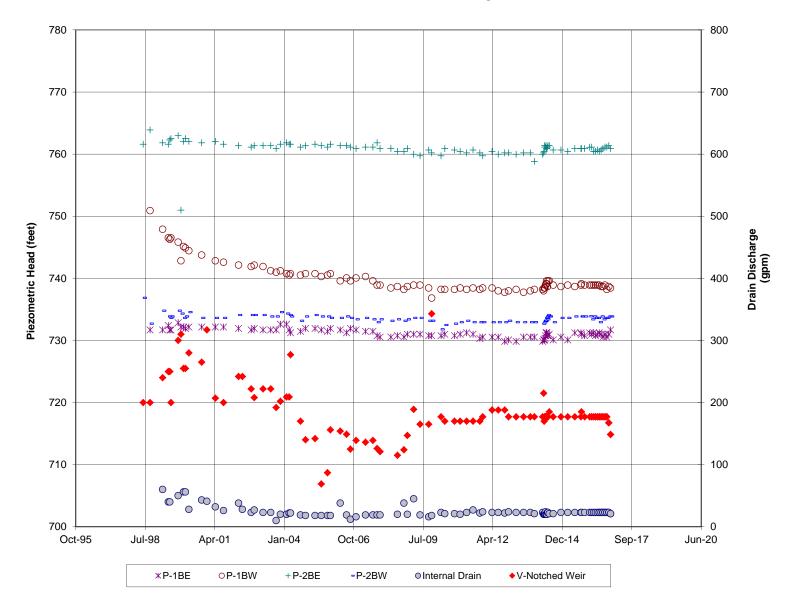


Figure 5n Cardinal FAD 2 Centerline of Dam Drain Piezometers & Right Abutment Piezometers

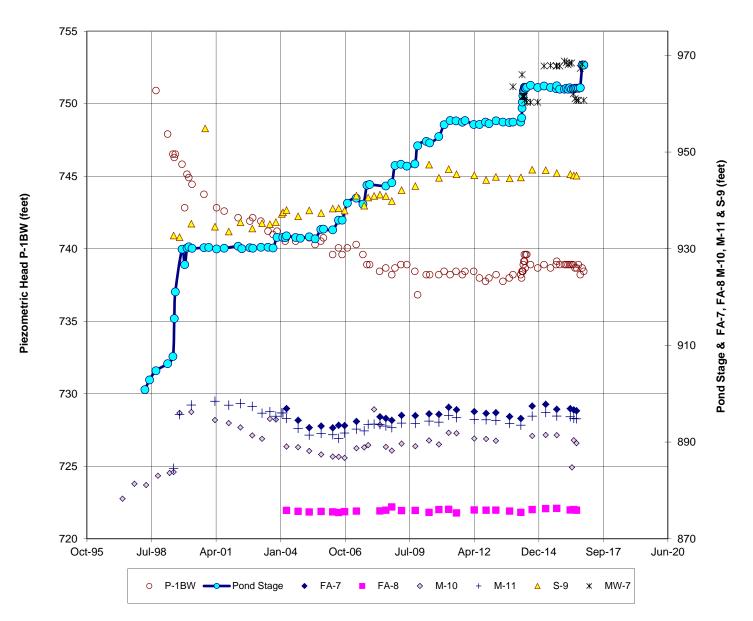
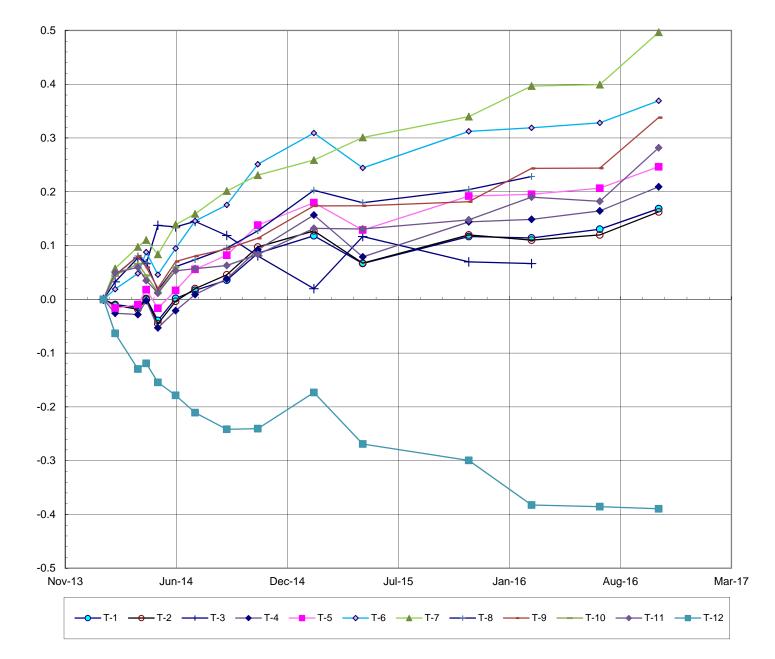
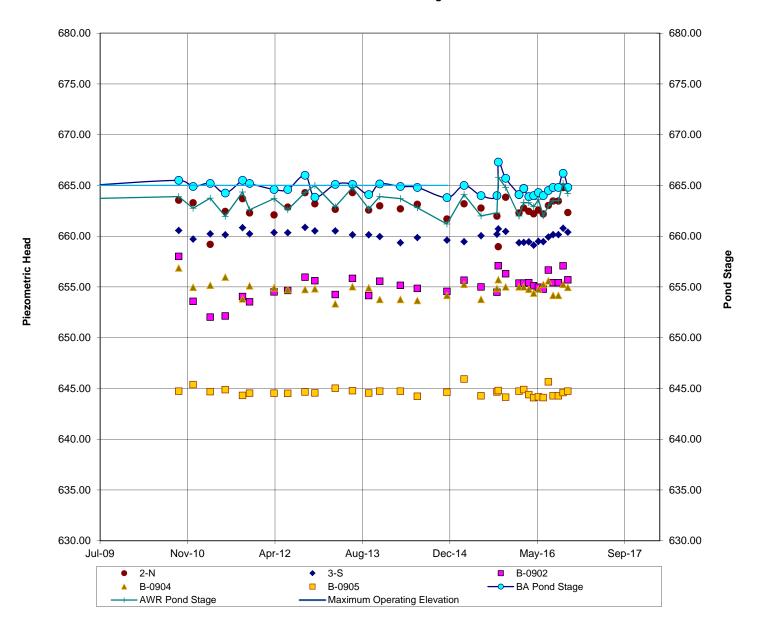


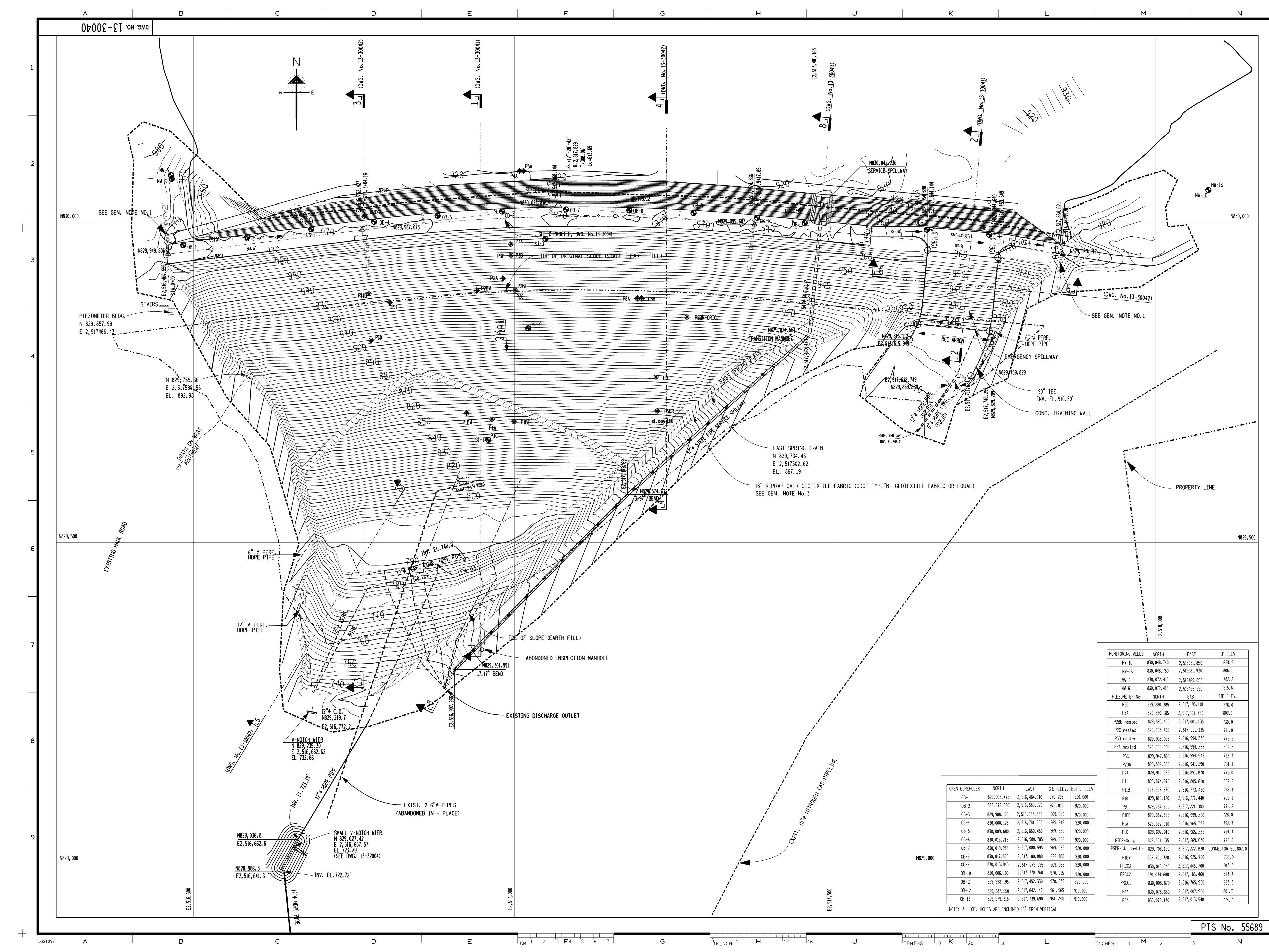
Figure 5o Cardinal FAD 2 Centerline of Dam Tiltmeters at MSE Wall Concrete Pannels



Tilt (Degrees)

Figure 5p Bottom Ash Pond Complex Piezometers & Ponds Stages

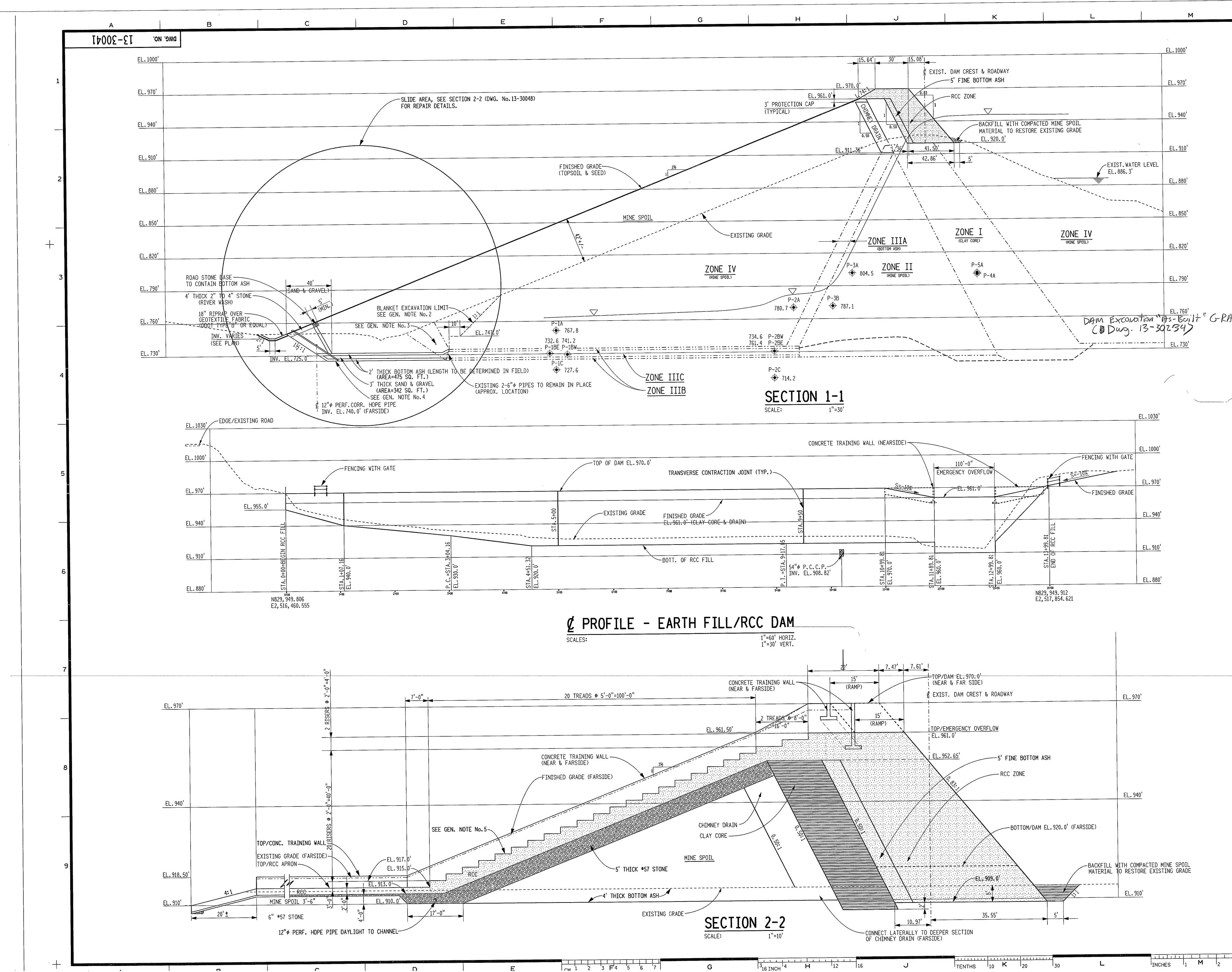






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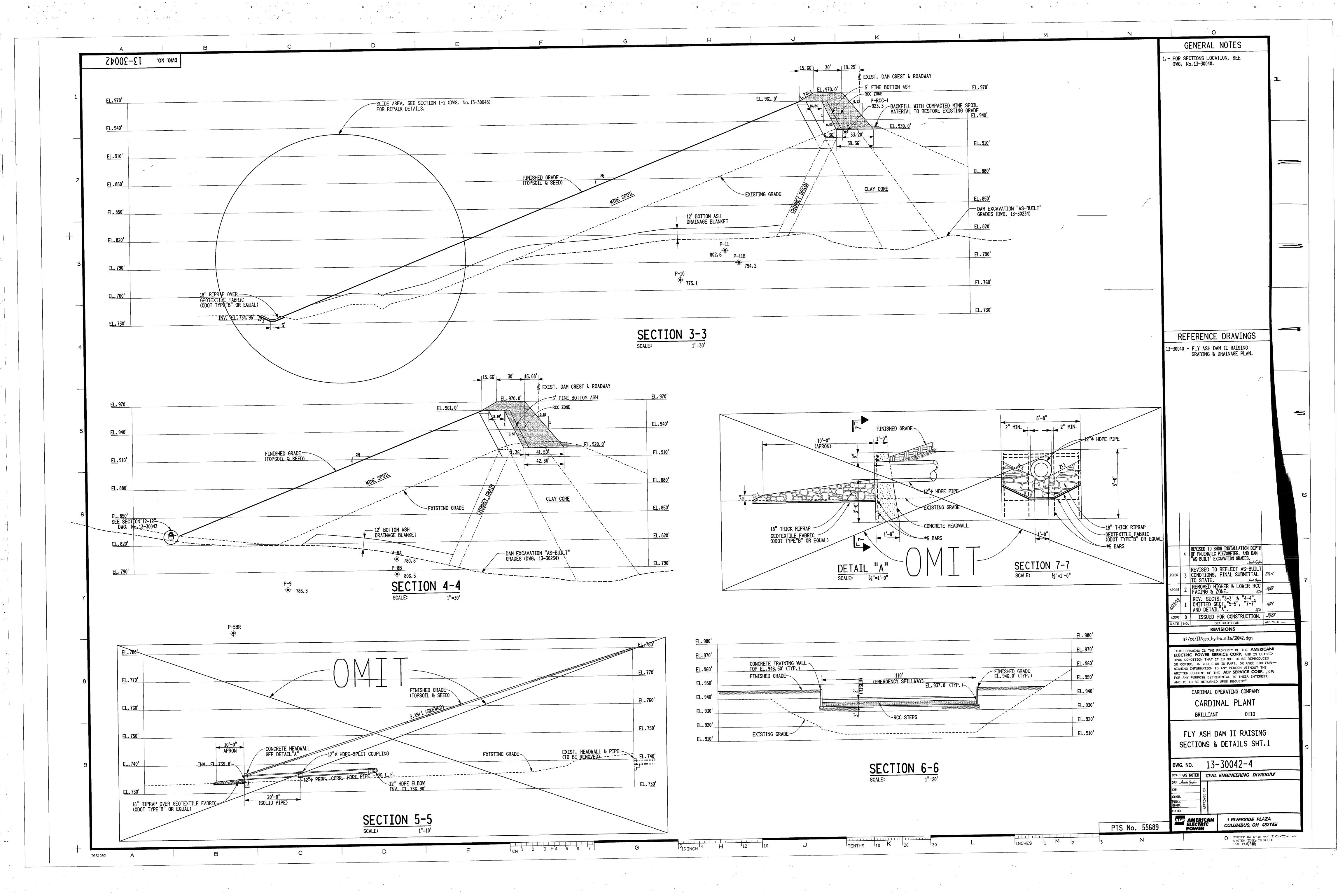
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